

IAP4 Rec'd PCT/PTO 28 NOV 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Group Art Unit:

Unknown

Ulf Dietrich et al.

Examiner:

Unknown

Serial No.:

10/551,108

Filed:

September 26, 2005

For:

DISPERSING AGENTS

Attorney Docket No.: WAS0737PUSA

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

11/18/2005

William G. Conger
Name of Person Signing

Signatur

S/N: 10/551,108 Atty Dkt No. WAS0737PUSA

Accompanying the Information Disclosure Statement is the statement of Dr. Michael Schuderer describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Ulf Dietrich et al.

William G. Conger Reg. No. 31,209

Attorney/Agent for Applicant

Date: Nov. 18, 2005

BROOKS KUSHMAN P.C.

1000 Town Center, 22nd Floor Southfield, MI 48075-1238

Phone: 248-358-4400 Fax: 248-358-3351 In re application of ULF DIETRICH ET AL.

Serial Number

Group Art Unit:

Filed:

For: DISPERSING AGENTS

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir or Madam:

I, Michael Schuderer, associated with the preparation and prosecution of the above-identified application, residing at Thurneyssenstraße 22, 80687 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application as well as in the corresponding International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

EP-A 1090901 is already in English language.

For DE-A 19806482 an English Derwent Abstract is enclosed.

US-A 5362829 is already in English language.

WO-A 97/13732 is already in English language.

For DE 19538821 an English Derwent Abstract is enclosed.

WO-A 00/75208 is already in English language.

For DE-A 19539460 an English Derwent Abstract is enclosed.

EP-A 792850 is already in English language.

EP-A 590983 is already in English language.

For DE-A 10063291 an English Derwent Abstract is enclosed.

EP-A 816298 is already in English language.

Signed this JH day of October, 2005.

Dr. Michael Schuderer

PTO/SB/08A (10-96) [MODIFIED] Approved for use through 10/31/99. OMB 0651-0031 ent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

2

Complete if Known				
Application Number 10/551,108				
Filing Date September 26, 2005				
First Named Inventor	Ulf Dietrich et al.			
Group Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	WAS0737PUSA			

(use as many sheets as necessary)

Sheet 1 of

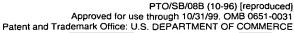
U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.1	U.S. PATENT DOCUMENT Kind Code ² Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		5,362,829		Kinoshita et al.	11/08/1994			
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.1			Kind Code⁵		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		EP	1 090 901 A2		Takemoto Yushi	04/11/2001		
		DE	198 06 482 A1		SKW Trostberg AG	08/19/1999		
		WO	97/13732		Alcell Technology	04/17/1997		
		DE	195 38 821 A1		SKW Trostberg	10/02/1996		
		WO	00/75208 A1		Handy Chemicals	12/14/2000		
		DE	195 39 460 A1		BASF AG	04/30/1997		
		EP	0 792 850 A1		Nippon Shokubai Co	09/03/1997		
		EP	0 590 983 A1		Takemoto Yushi	04/06/1994		
		DE	100 63 291 A1		SKW Polymers GmbH	06/20/2002		
		EP	0 816 298 A1		Takemoto Yushi	01/07/1998		

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



Substitute for Form 1449B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number 10/551,108

Filing Date September 26, 2005

First Named Inventor Ulf Dietrich et al.

Group Art Unit Unknown

Examiner Name Unknown

Sheet 2 Attorney Docket Number WAS0737PUSA

(use as many sheets as necessary)			Exam	Examiner Name U:		Unkn	Unknown				
Sheet	2	of		2	Attorn	Attorney Docket Number WAS0737PUSA			*		
	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS										
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.									
-		De	erwent	Abstract	corres	to DE	19806482	[AN	1999-4	[32199]	
		D€	erwent	Abstract	corres	. to DE	19538821	. [AN	1996-4	155292]	
		De	rwent	Abstract	corres	to DE	19539460	[AN	1997-2	238106]	
		D€	erwent	Abstract	corres	. to DE	: 10063291	. [AN	2002-6	529010]	

Examiner	Date	·
Signature	Considered	,

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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